

Attorney Docket No.: E3331.0629

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 451115723 US in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Attn: MS Missing Parts

on	October 12, 2004
	Date

Signature

Carol A. McFarlane-Fishberg

Typed or printed name of person signing Certificate

(212) 896-5456

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Combined Declaration and Power of Attorney (2 pages); Response To Notice To File Missing Parts (2 pages);

Part 2 Copy of Notice;

Submission of Formal Drawings (1 page);

Formal Drawings (5 Figures, 4 Sheets) – Figures 1-5;

Request for Extension of Time (2 pages);

Payment by credit card. Form PT0-2038 is attached (1 page); and

Charge \$1110.00 to credit card.



Docket No.: E3331.0629

Confirmation No.: 4196

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Edward R. Howorka et al.

Application No.: 10/781,703

Filed: February 20, 2004

Art Unit: Not Yet Assigned

For: VOCALISATION OF TRADING DATA IN

TRADING SYSTEMS Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed May 12, 2004, Applicants respectfully submit a Combined Declaration and Power of Attorney, Formal Drawings (5 Figures, 4 Sheets – Figures 1-5), and Part 2 Copy of Notice.

Please charge our Credit Card in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(e). Credit Card Payment Form SB-2038, with a signature from an authorized cardholder, is enclosed.

Application No.: 10/781,703 Docket No.: E3331.0629

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2215.

Dated: October 12, 2004

Respectfully submitted,

Edward A. Meilman

Registration No.: 24,735

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

1177 Avenue of the Americas

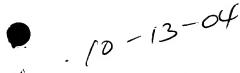
41st Floor

New York, New York 10036-2714

(212) 835-1400

Attorney for Applicant





Page 1 of 2

IFW/

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandra, Viginia 22313-1450 www.usplo.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/781,703

02/20/2004

Edward R. Howorka

E3331.0629

Dickstein Shapiro Morin & Oshinsky LLP 41st Floor

41st Floor 1177 Avenue of the Americas New York, NY 10036-2714 **CONFIRMATION NO. 4196**

FORMALITIES LETTER

OC000000012616658

Date Mailed: 05/12/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/14/2004 HDEMESS1 00000048 10781703

FILED UNDER 37 CFR 1.53(b)

01 FC:1051

130.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE